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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of : **Confirmation No. 9598**
Tsunetoshi HONMA et al. : Docket No. 2004-0301
Serial No. 10/784,930 : Group Art Unit 1752
Filed February 25, 2004 : Examiner - Not yet assigned

NOVEL PROCESSES FOR THE
PREPARATION OF BICYCLIC
AMINOALCOHOLS

**THE COMMISSIONER IS AUTHORIZED
TO CHARGE ANY DEFICIENCY IN THE
FEES FOR THIS PAPER TO DEPOSIT
ACCOUNT NO. 23-0975**

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Pursuant to the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, Applicants request consideration of the references listed on attached form PTO-1449 and any additional information identified below in paragraph 3. A legible copy of each reference listed on the Form PTO-1449 is enclosed.

1a. ☒ This Information Disclosure Statement is submitted:

within three months of the filing date (or of entry into the National Stage) of the above-entitled application, **or**

before the mailing of a first Office Action on the merits or the mailing of a first Office Action after the filing of an RCE,

and thus no certification and/or fee is required.

1b. ☐ This Information Disclosure Statement is submitted

after the events of above paragraph 1a and prior to the mailing date of a final Office Action or a Notice of Allowance or an action which otherwise closes prosecution in the application, and thus:

- (1) ☐ the certification of paragraph 2 below is provided, **or**
(2) ☐ the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.

1c. ☐ This Information Disclosure Statement is submitted:

after the mailing date of a final Office Action or Notice of Allowance or action which otherwise closes prosecution in the application, and prior to payment of the issue fee, and thus:

**the certification of paragraph 2 below is provided, and
the fee of \$180.00 specified in 37 CFR 1.17(p) is enclosed.**

2. It is hereby certified

- a. ☐ that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
b. ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following list of additional information (including any copending or abandoned U.S. application, prior uses and/or sales, etc.) is requested.

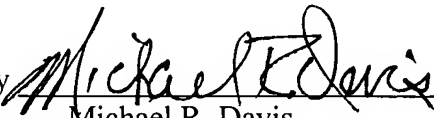
4. For each non-English language reference listed on the attached form PTO-1449, reference is made to:

- a. ☐ a full or partial English language translation submitted herewith,
b. ☐ a foreign patent office search report (in the English language) submitted herewith,
c. ☐ the concise explanation contained in the specification of the present application at page,

- d. ☐ the concise explanation set forth in the attached English language abstract,
- e. ☐ the concise explanation set forth below or on a separate sheet attached to the reference:
5. ☒ A foreign patent office search report citing one or more of the references is enclosed.
6. **Comments:** The Yoon et al. reference cited in the attached Supplemental European Search Report is cited in Applicants' IDS of February 25, 2004.

Respectfully submitted,

Tsunetoshi HONMA et al.

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July 29, 2004

FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
2004-0301SERIAL NO.
10/784,930LIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary.)APPLICANT
Tsunetoshi HONMA et al.

Date Submitted to PTO: July 29, 2004

FILING DATE
February 25, 2004GROUP
1752

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AJ							
	AK							
	AL							
	AM							
	AN							

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

	AO	Fluka Chemie AG: "Fluka Chemika-Biochemika", 1993, Fluka Chemie AG, Buchs/Switzerland, XP002242832, pg. 545, number 41661 and 41664 (DMPU)
	AP	J. March, "Advanced Organic Chemistry, Reactions, Mechanisms, and Structure", 1985, John Wiley & Sons, New York, pg. 1105
	AQ	D. Curran, et al., "Additions of Electrophilic Radicals to Electron Rich Alkenes by the Atom Transfer Method. Surmounting Potentially Reversible Radical Atom Transfer Reactions by Irreversible Ionic Reactions", <u>Tetrahedron Letters</u> , Vol. 39, 1998, pp. 6629-6632

EXAMINER

DATE CONSIDERED